# EFRC Training Workshop Design and operation of reciprocating compressors 

Thermodynamics
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## Types of compressors

## A basic overview



## Application area



## Thermodynamic systems

Separated by system boundaries (material or virtual) from surroundings (=everything except the system)

- Closed system....no transfer of matter across system boundaries
- Open system....transfer of matter across system boundaries
- Isolated system....no interaction with surroundings at all



## Ideal Gases

## Ideal gases ...

- are defined by ideal gas law ( $\mathrm{p}^{*} \mathrm{~V}=\mathrm{R}^{*} \mathrm{~T}=$ const.)
- may be used to define the absolute thermodynamic temperature
- all real gases behave approximately as ideal gases for not too high pressures and temperatures $\Rightarrow$ ideal gas law is asymptotic limiting law for real gases
p ....absolute pressure [Pa]
V ....Volume
$\mathcal{R}=8314 \mathrm{~J} /\left(\mathrm{kmol}^{*} K\right) \ldots$ universal gas constant
$R=R / M$....special gas constant
M ....molar mass [kg/kmol]
T....absolute Temperatur [K]


## Pressure

- Definitions:

| absolute pressure | bara |
| :--- | :--- |
| absolute pressure | psia |
| gauge pressure | barg |
| gauge pressure | psig |

- Units:
$1 \mathrm{~Pa}=1 \mathrm{Pascal}=1 \mathrm{~N} / \mathrm{m}^{2}$
1 bar $=100.000 \mathrm{~Pa}$
$1 \mathrm{psi}=6894 \mathrm{~Pa}$
- Standard conditions:
atmospheric pressure

$$
p_{0}=1,0133 \text { bara }
$$



In thermodynamic calculations only the absolute pressure may be used!

## Temperature

- Definitions:

| absolute temperature T | K |  |
| :--- | :--- | :--- |
| Celsius temperature | $\vartheta^{\circ} \mathrm{C}$ | ${ }^{\circ} \mathrm{C}$ |
| Fahrenheit scale | $\vartheta^{\circ \mathrm{F}}$ | ${ }^{\circ} \mathrm{F}$ |

- Units:

$$
\begin{array}{rlr}
1 \mathrm{~K} & =\text { Kelvin } & \mathrm{K} \\
\vartheta^{\circ} \mathrm{C} & =\mathrm{T}-273.15 & { }^{\circ} \mathrm{C} \\
& =\left(\vartheta_{\circ \mathrm{F}}-32^{\circ} \mathrm{F}\right) / 1,8 & \\
\vartheta^{\circ \mathrm{F}} & =1,8 \vartheta^{\circ \mathrm{C}}+32 & { }^{\circ} \mathrm{F}
\end{array}
$$

- Standard conditions:

$$
\mathrm{T}=273.15 \mathrm{~K}=0^{\circ} \mathrm{C}=32^{\circ} \mathrm{F}
$$

arbitrary temperature


In thermodynamic calculations only the absolute temperature may be used!

## Gas mixtures

Under consideration: Mixture of $n$ gases $i=1,2, \ldots n$


Definitions:

- Partial volume $\mathrm{V}_{\mathrm{i}} \ldots .$. volume that gas i would occupy at temperature of mixture
- Partial pressure $\mathrm{p}_{\mathrm{i}} \ldots$. . pressure gas i would have if it alone occupied the volume V at the temperature of the mixture

Mixture of ideal gases:

- Pressure of mixture = sum of partial pressures ........,Dalton's law"
- Volume of mixture = sum of partial volumes


## Ideal gas mixture

Ideal gas law for components $p_{i} * V=n_{i} * R * T$
summed over all components $\sum_{i=1}^{n} p_{i} * V=\sum_{i=1}^{n} n_{i} * R * T$
with Dalton's law and $m=\sum_{i=1}^{n} n_{i} * M_{i}$ it follows that $\quad p * v=\frac{\mathcal{R}}{M} * T$
with the molar mass of the mixture $\quad M=\sum_{i=1}^{n} \frac{n_{i}}{n} * M_{i}$

## Measures for concentration:

mole fraction $y_{i}:=n_{i} / n$
volumetric fraction $\alpha_{i}:=V_{i} / \sum_{i=1}^{n} V_{i}$
$\alpha_{i}=y_{i}$ for ideal gas mixtures

## Ideal gas mixture

Example: Molar mass of dry air
Dry air consists of approx. $78 \% \mathrm{~N}_{2}, 21 \% \mathrm{O}_{2}$ und 1\%Ar (volume fraction). What is the molar mass of the air?

$$
\begin{aligned}
M= & 0.78 * 2 * 14 \mathrm{~kg} / \mathrm{kmol}+0.21 * 2 * 16 \mathrm{~kg} / \mathrm{kmol} \\
& +0.01 * 40 \mathrm{~kg} / \mathrm{kmol}=29.0 \mathrm{~kg} / \mathrm{kmol}
\end{aligned}
$$

## Energy balance....special cases of interest

Closed system at rest: $\quad d U=d_{e} W+d_{e} Q \Leftrightarrow U_{2}-U_{1}=W_{12}+Q_{12}$
Example: Quasistatic, adiabatic (no heat transfer) expansion of gas
Work done on system.... $\mathrm{d}_{\mathrm{e}} \mathrm{W}=-\mathrm{F}_{\text {boundary }}{ }^{*} \mathrm{dz}$
Quasistatic change of state:

- mechanic equilibrium piston.... $F_{\text {boundary }}=F_{G}$
- pressure inside cylinder homogeneous.... $\mathrm{F}_{\text {boundary }}=\mathrm{p}^{*} \mathrm{~A}$
$\Rightarrow$ quasistatic work $d_{e} W=-p^{*} A^{*} d z=-p^{*} d V$
$1^{\text {st }}$ law


Note:

- the work depends on the special path $1 \rightarrow 2$
- according to the $1^{\text {st }}$ law, the work equals the change in the internal energy where the latter just depends on the states 1 and 2
- solution: further restriction of no heat transfer leaves only one quasistatic path $\Rightarrow$ isentropic change of state


## Summary of gas laws

$$
p \cdot V=m \cdot R \cdot T
$$

For any isentropic or adiabatic (no heat transfer in the process) change of conditions the relation of pressure and volume is following the equation:

$$
p \cdot v^{\kappa}=\text { const }
$$

Transformed to calculate the discharge temperature:

$$
T_{2}=T_{1} \cdot\left(\frac{p_{2}}{p_{1}}\right)^{\frac{\kappa-1}{\kappa}}
$$

## Adiabatic power consumption

Adiabatic compression of ideal gas:
(kinetic and pot. energy not considered)


$$
0=P+\dot{m}^{*}\left(h_{1}-h_{2}\right) \Rightarrow P=\dot{m}^{*} c_{p} *\left(T_{2}-T_{1}\right)
$$

Reversible compression:

$$
T_{d}=T_{s} *\left(\frac{p_{d}}{p_{s}}\right)^{\frac{\kappa-1}{\kappa}}
$$

$$
\Rightarrow P=\dot{m} * c_{p} * T_{s} *\left[\left(\frac{p_{d}}{p_{s}}\right)^{\frac{\kappa-1}{\kappa}}-1\right]
$$

Note: losses increase discharge temperature and thereby power consumption!

## Piston Compressors - Working principle


(Click on picture for animation)

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## Single acting piston compressor

 pressure vs. volume diagram theory
..... of the gas in the cylinder


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## Single acting piston compressor pressure vs. volume diagram theory

## Pressure - Time Diagram p,t

shows the pressure in the cylinder at a given time or crank angle


## Pressure - Volume Diagram <br> p,V

shows the pressure in the cylinder at a given volume or piston position



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## Clearance volume


-Clearance refers to the volumes in each end of the cylinder that retain gas after the piston has stopped
-Gas cannot be displaced; cylinder is not 100\% efficient

## Volumetric efficiency



$$
\begin{aligned}
& V_{A}=V_{C L} \\
& V_{B}=V_{C L}\left(\frac{p_{2}}{p_{1}}\right)^{\frac{1}{\kappa}} \\
& V_{S W} \eta_{V}=V_{S W}-\left(V_{B}-V_{A}\right) \\
& V_{S W} \eta_{V}=V_{S W}-V_{C L}\left(\Pi^{\frac{1}{\kappa}}-1\right) \\
& \eta_{V}=1-\sigma_{0}\left(\Pi^{\frac{1}{\kappa}}-1\right)
\end{aligned}
$$

## Single acting piston compressor pressure vs. volume diagram theory



## Basic principles - mass flow



## p-V Diagram - Influence of pressure ratio p2/p1 and clearance volume $\sigma_{0}$



Influence of pressure ratio $\mathrm{p} 2 / \mathrm{p} 1$ on volumetric efficiency:

$$
4,6,8
$$

clearance $\sigma_{0}$ constant $10 \% \mathrm{~V}_{\mathrm{sw}}$

Influence of clearance $\sigma_{0}$ on vol. efficiency:

$$
\begin{aligned}
& \text { a: } 6 \%, \\
& \text { b: } 10 \% \text {, } \\
& \text { c: } 15 \% \text { of } V_{s w}
\end{aligned}
$$

Pressure ratio $p_{2} / p_{1}$ constant

## Single versus double acting compressor

Single-acting compressor




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## Double acting piston compressor



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## Double acting piston compressor pressure vs. volume diagram theory





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## Compressor Limitations Compression ratio

The equation $T_{2}=T_{1} \cdot\left(\frac{p_{2}}{p_{1}}\right)^{\frac{\kappa-1}{\kappa}} \quad \begin{aligned} & \text { limits the pressure ratio in one stage as neither } \\ & \text { material nor gas may stand such a high discharge } \\ & \text { temperature value. }\end{aligned}$

Practice shows, that reasonable maximal pressure ratios per stage are:
$\mathrm{p}_{2} / \mathrm{p}_{1}=5$ for polyatomic gases with $\mathrm{K}=1,3$ (natural gas, $\mathrm{CO}_{2}$ etc. .....)
$\mathrm{p}_{2} / \mathrm{p}_{1}=4$ for diatomic gases with $\mathrm{k}=1,4$ (air, $\mathrm{N}_{2}, \mathrm{H}_{2}, \mathrm{CO}$ etc. .....) $\mathrm{p}_{2} / \mathrm{p}_{1}=3$ for monoatomic gases $\mathrm{K}=1,67(\mathrm{He}, \mathrm{Ne}, \mathrm{Xe}, \mathrm{Ar}$ etc. .....)

## Multistage compressor

Function:

- Achieve higher pressures - up to several hundred bars in high pressure and ultra-high pressure compressors.
- Needs to be cooled down between stages in order to avoid exceeding permissible temperature for compressor materials and lubricating oil. Cooling significantly saves energy.




## Multistage compressor

Energy saving explanation:


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## Multistage compressor

Power $[k W]=P_{1 s t}+P_{2 s t}+\ldots$


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## Example of multi-staging compressor

6 Cylinders - 3 stages compressor layout:
(Stg. ... Stage, Cyl. ... Cylinder)


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## Valve losses

 pressure vs. volume diagram theoryA certain \% of compressor work is lost due to losses (suction and discharge


## Valve loss

Valve loss:


## Ventilation Ioss

Ventilation losses are the overall losses incurred in:


## Valve \& Ventilation losses

The areas exceeding nominal discharge pressure show the different losses at the delivery side.


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## Shape of valve pocket and valve losses

The shape of the valve pocket in the cylinder has a considerable influence on total gas flow losses. In the calculation of total flow losses, the valve nest shape can be taken into account with 'Pocket Factors'.


Low losses in the cylinder pockets can be achieved by minimizing restriction of gas flow into cylinder,

- by wide passage areas
- by pockets or recesses in the cylinder head


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## Shading of valve nest by cylinder head

Narrow ports and gaps are restricting the gas flow too much


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## Real gas (compressibility) factor

Real gas (compressibility) factor $Z$ defined by

$$
p^{*} v=Z * R * T
$$

- describes deviations from ideal gas law
- $\mathrm{Z}=\mathrm{Z}(\mathrm{p}, \mathrm{T})$ has to be determined for each gas - detailed TD design needed!

Compressibility factor of Nitrogen


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## Summary

- A reciprocating compressor is the most efficient device to compress gas
- Although seemingly simple, a lot of fluid mechanics and thermodynamic knowledge is required
- Technology and R\&D is constantly progressing to keep the reciprocating compressor a very attractive choice!

Have a look also at http://recip.org/reciprocating/introduction-tothermodynamics/

